



Docket No. 0050-1659-2 PCT

FEB 05 2003

2186  
2-12-03

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RE APPLICANT OF: Michikazu HORIE, et al.

SERIAL NO: 09/530,599

GAU: 2186

FILED: May 9, 2000

EXAMINER:

FOR: OPTICAL INFORMATION RECORDING MEDIUM AND OPTICAL RECORDING METHOD

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO-10 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 0050-1659-2 PCT	SERIAL NO. 09/530,599
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Michikazu HORIE, et al.			
		FILING DATE May 9, 2000		GROUP 2186	
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS SUB CLASS
AA		6,143,468	11/07/00	OHNO et al.	
AB		6,004,646	12/21/99	OHNO et al.	
AC		6,294,310	09/25/01	OHNO et al.	
AD					
AE					
AF					
AG					
AH					
AI					
AJ					
AK					
AL					
AM					
AN					
FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES      NO
AO					
AP					
AQ					
AR					
AS					
AT					
AU					
AV					
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)					
	AW				
	AX				
	AY				
	AZ			<input type="checkbox"/> Additional References sheet(s) attached	
Examiner			Date Considered		

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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### LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
0050-1449-0	6,143,468	11/07/00	OHNO et al.
0050-1500-0	6,004,646	12/21/99	OHNO et al.
0050-1656-0 DIV	6,294,310	09/25/01	OHNO et al.
0050-1659-2PCT*	09/530,599	05/09/00	PENDING
210159US0 CONT	09/919,846	08/02/01	PENDING
218899US0	10/059,193	01/31/02	PENDING
230271US2 CONT	10/287,582	11/05/02	PENDING

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\*Present Application; listed for information

GJM/akh

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